

In re application of:

Bennett et al.

Appl. No. 10/622,088

Filed: July 18, 2003

For: Viral Vectors Containing

Recombination Sites

Confirmation No. 1853

Art Unit: 1653

Examiner: To be assigned

Atty. Docket: 0942.5450007/RWE/BJD/SJE

Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of documents AA1-AK1, AA2-AK2, AA3-AK3, AA4-AK4, AA5-AK5, AA6-AK6, AA7-AK7 and AA8-AF8 are not provided in accordance with the U.S. Patent and Trademark Office pre-OG notice of July 11, 2003, which states "[t]he Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each cited U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003." A copy of each of the other documents is provided.

The Examiner's attention is directed to the following co-pending U.S. Patent Applications, which are directed to related technical subject matter:

U.S. Application No. 09/177,387, Hartley *et al.*, filed October 23, 1998, and submitted herewith as document **AS76**;

- U.S. Application No. 09/517,466, Hartley *et al.*, filed March 2, 2000, and submitted herewith as document **AT76**;
- U.S. Application No. 09/695,065, Brasch *et al.*, filed October 25, 2000, and submitted herewith as document **AR77**; and
- U.S. Application No. 09/984,239, Brasch *et al.*, filed October 29, 2001, and submitted herewith as document **AS77**.

The identification of these U.S. Patent Applications is not to be construed as a waiver of secrecy as to these applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

Date: 1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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MAY 2 1 2004 **FORM PTO-1449** INFORMATION DISCLOSURE S'

ATTY, DOCKET NO. 0942.5450007/RWE/BJD/SJE FIRST NAMED INVENTOR

APPLICATION NO. 10/622,088

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Bennett et al.

FILING DATE

ART UNIT

July 18, 2003 1653 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT NUMBER DATE SUB-CLASS INITIAL NAME CLASS FILING DATE AA1 08/22/1989 4,859,587 Roizman 04/25/1986 AB1 4,879,239 11/07/1989 Daggett et al. 09/09/1986 AC₁ 4,960,707 10/02/1990 Lacks 08/17/1987 5,077,214 12/31/1991 Guarino et al. AD1 07/07/1989 AE1 5,082,784 01/21/1992 Chatterjee et al. 03/20/1990 AF1 03/24/1992 5,098,839 Polisson 05/10/1990 08/18/1992 AG1 5,139,942 Benner 05/19/1988 AH₁ 5,147,800 09/15/1992 Hammond et al. 06/08/1990 AI1 5,155,037 10/13/1992 Summers 08/04/1989 AJ1 5,162,222 11/10/1992 Guarino et al. 09/17/1990 AK1 5,169,784 12/08/1992 Summers et al. 09/17/1990 **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-CLASS **TRANSLATION** Yes AL1 WO 94/08598 04/28/1994 **WIPO** No Yes **WIPO** AM1 WO 94/12649 06/09/1994 No Yes AN1 WO 94/28938 12/22/1994 **WIPO** No Yes WO 95/00655 **WIPO** AO1 01/05/1995 No Yes AP1 WO 96/17053 06/06/1996 **WIPO** Nο OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Abremski, K., et al., "Bacteriophage P1 Cre-loxP Site-specific Recombination," J. Biol. Chem. AR 1 261:391-396, The American Society of Biological Chemists, Inc. (1986) Ambler, R.P., "The structure of β-lactamases," Phil. Trans. R. Soc. Lond. 289:321-331, The AS 1 Royal Society (1980) Andersen, A.H., et al., "Studies of the Topoisomerase II-mediated Cleavage and Religation AT 1 Reactions by Use of a Suicidal Double-stranded DNA Substrate," J. Biol. Chem. 266:9203-9210, The American Society for Biochemistry and Molecular Biology, Inc. (1991) **EXAMINER**

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	ATTY. DOCKET NO.	APPLICATION NO.
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FORM PTO-1449	FIRST NAMED INVENTOR	
INFORMATION DICCLOSURE STATEMENT	Bennett et al.	
INFORMATION DISCLOSURE STATEMENT	FILING DATE	ART UNIT
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-			U.S. PATE	NT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
- -	AA2	5,179,015	01/12/1993	Wilson et al.			07/23/1990
	AB2	5,192,675	03/09/1993	Chatterjee et al.			01/17/1992
	AC2	5,200,333	04/06/1993	Wilson			03/19/1991
	AD2	5,202,248	04/13/1993	VanCott			11/02/1990
	AE2	5,231,021	07/27/1993	Chatterjee			04/10/1992
	AF2	5,244,805	09/14/1993	Miller			01/17/1991
	AG2	5,248,605	09/28/1993	Chatterjee			12/07/1992
	AH2	5,252,479	10/12/1993	Srivastava			11/08/1991
	Al2	5,304,480	04/19/1994	Chatterjee			03/19/1993
	AJ2	5,312,746	05/17/1994	Longo et al.			01/08/1993
	AK2	5,334,526	08/02/1994	Smith et al.			05/28/1993
			FOREIGN PA	TENT DOCUMENTS	_1		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	WO 96/19497	06/27/1996	WIPO			Yes No
	AM2	WO 98/56943	12/17/1998	WIPO		·	Yes No
	AN2	WO 01/11058	02/15/2001	WIPO			Yes No
	AO						Yes No
	AP						Yes No
		OTHER (Inclu	ding Author,	Title, Date, Pertinent Pages	s, etc.)	·	
	AR	2 450 Sterol 26-H	lydroxylase, a	, Structure, and Expression of Bile Acid Biosynthetic Enzynochemistry and Molecular Biol	ne," <i>J. Bi</i> d	ol. Chem. 26	
	AS			eration of Recombinant Aden <i>Med</i> . 5:224-231, Picower Ins			-lox
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	AA3	5,3	34,575	08/02/1994	Noonan et al.			12/17/1992	
	AB3	5,3	48,886	09/20/1994	Lee et al.			09/04/1992	
	AC3	5,4	70,740	11/28/1995	Longo et al.			11/04/1993	
	AD3	5,5	34,428	07/09/1996	Longo et al.			09/06/1994	
	AE3	5,6	372,344	09/30/1997	Kelley et al.			02/10/1994	
	AF3	5,6	86,279	11/11/1997	Finer et al.			06/10/1994	
	AG3	5,7	41,486	04/21/1998	Pathak et al.			06/06/1995	
	АН3	5,7	41,657	04/21/1998	Tsien et al.			03/20/1995	
	Al3	5,7	66,891	06/16/1998	Shuman			12/19/1994	
	AJ3	5,8	34,256	11/10/1998	Finer et al.			06/11/1993	
	AK3	5,8	51,808	12/22/1998	Elledge et al.			02/28/1997	
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	AR	3		Argos, P., et al., "The integrase family of site-specific recombinases: regional similarities an global diversity," <i>EMBO J. 5</i> :433-440, IRL Press Limited (1986) Baek, S-C., et al., "Sustainable Systemic Delivery via a Single Injection of Lentivirus into Human Skin Tissue," <i>Hum. Gene Ther. 12</i> :1551-1558, Mary Ann Liebert, Inc. (2001) Bass, B.L., "Double-Stranded RNA as a Template for Gene Silencing," <i>Cell 101</i> :235-238, Cell Press (2000)					
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	AA4	5,8	358,740	01/12/1999	Finer et al.			05/10/1995	
	AB4	5,8	388,732	03/30/1999	Hartley et al.			06/07/1996	
	AC4	5,8	388,795	03/30/1999	Hamilton			09/09/1997	
	AD4	5,9	955,604	09/21/1999	Tsien et al.			10/21/1997	
	AE4	5,9	981,275	11/09/1999	Armentano et al.			04/14/1997	
	AF4	5,9	994,106	11/30/1999	Kovesdi et al.			11/26/1996	
	AG4	5,9	994,136	11/30/1999	Naldini et al.			12/12/1997	
	AH4	5,9	998,205	12/07/1999	Hallenbeck et al.			08/01/1997	
	Al4	5,9	998,208	12/07/1999	Fraefel et al.			01/21/1998	
	AJ4	6,0	013,516	01/11/2000	Verma et al.			10/06/1995	
	AK4	6,0	025,192	02/15/2000	Beach et al.			09/20/1996	
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	AR	4	Adenoviruses 2	Bergelson, J.M., et al., "Isolation of a Common Receptor for Coxsackie B Viruses and Adenoviruses 2 and 5," Science 275:1320-1323, The American Association for the Advancement of Science (1997)					
	AS	4	Berger, J.M., "Si Science B.V. (19		IA topoisomerases," <i>Biochim</i>	. Biophys	s. Acta 1400:	3-18, Elsevier	
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	AA5	6,03	31,094	02/29/2000	Tsien <i>et al</i> .			07/23/1998	
	AB5	6,04	10,174	03/21/2000	Imler et al.			05/27/1994	
	AC5	6,05	51,427	04/18/2000	Finer et al.			08/21/1995	
	AD5	6,08	36,902	07/11/2000	Zamb et al.			09/09/1994	
	AE5	6,11	10,735	08/29/2000	Chartier et al.			12/01/1995	
	AF5	6,12	20,764	09/19/2000	Graham et al.			06/07/1995	
	AG5	6,12	21,043	09/19/2000	Cochran et al.			08/15/1997	
	AH5	6,12	27,175	10/03/2000	Vigne et al.			01/19/1996	
	Al5	6,13	33,028	10/17/2000	Imler et al.			09/30/1999	
	AJ5	6,13	36,594	10/24/2000	Dalemans et al.			05/16/1995	
	AK5	6,14	10,087	10/31/2000	Graham et al.			05/31/1994	
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	AS	<u>5</u>	Sequences Mod	, and Rohrmann, G.F., "Baculovirus gp64 Gene Expression: Analysis dulating Early Transcription and Transactivation by IE1," <i>J. Virology</i> n Society for Microbiology (1991)					
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	AA6	6,143,557	11/07/2000	Hartley et al.			01/20/1999	
-	AB6	6,156,497	12/05/2000	Kaleko			04/13/1998	
	AC6	6,165,782	12/26/2000	Naldini et al.			03/18/1999	
	AD6	6,171,861	01/09/2001	Hartley et al.			01/12/1998	
	AE6	6,194,191	02/27/2001	Zhang et al.			11/20/1997	
•	AF6	6,204,060	03/20/2001	Mehtali et al.			07/24/1996	
	AG6	6,218,187	04/17/2001	Finer et al.			03/11/1999	
	AH6	6,228,646	05/08/2001	Hardy			03/07/1997	
	Al6	6,255,060	07/03/2001	Eberwine et al.			06/22/1999	
	AJ6	6,261,807	07/17/2001	Crouzet et al.			08/08/1997	
	AK6	6,270,969	08/07/2001	Hartley et al.			01/20/1999	
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	AA7	6,2	77,608	08/21/2001	Hartley et al.			04/22/1999		
	AB7	6,2	77,620	08/21/2001	Gwynn et al.			04/26/1999		
	AC7	6,2	81,000	08/28/2001	Chartier et al.			12/21/1999		
	AD7	6,2	91,162	09/18/2001	Tsien et al.			10/15/1996		
	AE7	6,3	00,118	10/09/2001	Chavez et al.			06/07/1995		
-	AF7	6,3	19,703	11/20/2001	Speck			04/25/1997		
	AG7	6,3	23,024	11/27/2001	Tracy et al.			03/27/2000		
	AH7	200	02/0007051 A1	01/17/2002	Cheo et al.			12/11/2000		
	AI7	6,3	42,224	01/29/2002	Bruck et al.			02/18/2000		
	AJ7	6,3	42,229	01/29/2002	O'Hare et al.			08/02/1999		
	AK7	6,3	79,967	04/30/2002	Meredith et al.			03/04/1999		
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	AA8	6,410,311	06/25/2002	Cochran et al.			05/09/1997
	AB8	2002/0094574 A1	07/18/2002	Hartley et al.			05/16/2001
	AC8	6,472,205	10/29/2002	Tsien et al.			01/11/2000
	AD8	2002/0192819	12/19/2002	Hartley et al.			07/19/2001
	AE8	2003/0100110 A1	05/29/2003	Hartley et al.			11/02/1999
	AF8	2003/0186233	10/02/2003	Chesnut et al.			12/07/2001
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	Capone, J.P., et al., "Introduction of UAG, UAA, and UGA Nonsense Mutations at a Specific Site in the Escherichia coli Chloramphenicol Acetyltransferase Gene: Use in Measurement of Amber, Ochre, and Opal Suppression in Mammalian Cells," Molecular and Cellular Biology 6:3059-3067, American Society for Microbiology (1986)								
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	AR	10	Caron, P.R., and Topoisomerase	Caron, P.R., and Wang, J.C., "Appendix II: Alignment of Primary Sequences of DNA Topoisomerases," <i>Adv. Pharmacol. 29B</i> :271-297, Academic Press, Inc. (1994)					
	AS	<u>10</u>		Chalfie, M., et al., "Green Fluorescent Protein as a Marker for Gene Expression," <i>Science</i> 263:802-805, American Association for the Advancement of Science (1994)					
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	AS	11	Topoisomerase	Cheng, C., and Shuman, S., "Recombinogenic Flap Ligation Pathway for Intrinsic Repair of Topoisomerase IB-Induced Double-Strand Breaks," <i>Mol. Cell. Biol. 20</i> :8059-8068, American Society for Microbiology (2000)					
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	AR	12	Chong, S., <i>et al.</i> cleavable affinity	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Chong, S., et al., "Single-column purification of free recombinant proteins using a self- cleavable affinity tag derived from a protein splicing element," Gene 192:271-281, Elsevier Science B.V. (1997)						
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	AS	<u>13</u>	Thymidine Kinas	Cole, C.N., and Stacy, T.P., "Identification of Sequences in the Herpes Simplex Virus Thymidine Kinase Gene Required for Efficient Processing and Polyadenylation," <i>Mol. Cell. Biol.</i> 5:2104-2113, American Society for Microbiology (1985)						
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~	AR	<u>16</u>	Escherichia coli	DiGate, R.J., and Marians, K.J., "Molecular Cloning and DNA Sequence Analysis of Escherichia coli topB, the Gene Encoding Topoisomerase III," J. Biol. Chem. 264:17924- 17930, The American Society for Biochemistry and Molecular Biology, Inc. (1989)						
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	AR	<u>17</u>	Dull, T., et al., "A J. Virol. 72:8463	Dull, T., et al., "A Third-Generation Lentivirus Vector with a Conditional Packaging System," J. Virol. 72:8463-8471, American Society for Microbiology (1998)						
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	AR	<u>23</u>	Graham, F.L., au	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Graham, F.L., and Prevac, L., "Adenovirus-Based Expression Vectors and Recombinant Vaccines," in Vaccines: New Approaches to Immunological Problems, Chapter 16, Ellis, R.W., ed., Butterworth-Heinemann, Stoneham, MA, pp. 363-390 (1992)						
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	AL	\Box						Yes No		
	AM	\top						Yes No		
	AN	\exists						Yes No		
	AO	\top					ļ	Yes		
	AP	\forall						Yes		
			OTHER (Includ	ling Author	│ Title, Date, Pertinent Pages,	etc)	<u> </u>	No.		
	AR	75	ProPond™ Posin	Purification Sy	ystem Manual, catalog nos. R801-	•	∣-15, version F	⁻ , Invitrogen		
	AS	75	The GATEWAY™ September 22, 20	The GATEWAY™ Technology Instruction Manual, catalog nos. 12535-019, and 12535-027, version E, September 22, 2003, Invitrogen Corporation, Carlsbad, CA						
	AT	75	ViraPower™ Ader July 15, 2002, Invi	noviral Express itrogen Corpor	sion System Manual, catalog nos. ration, Carlsbad, CA	. K4930-0	0, and K4940	-00, version A,		
EXAMINER		<u> </u>			ſ	DATE CO	NSIDERED			

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·	July 18, 2003	1653

				U.S. PATE	NT DOCUMENTS			
EXAMINER INITIAL		D	OCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA							
	AB							
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EXAMINER INITIAL		D	OCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL							Yes No
	АМ							Yes No
	AN							Yes No
	AO				·			Yes No
	AP							Yes
	L		OTHER (Includ	ling Author.	Title, Date, Pertinent Pages	. etc.)	<u> </u>	No
	AR	<u>76</u>	ViraPower™ Lent	iviral Expression	on System Manual, catalog nos. I and K4990-00, version D, April	K4950-00	, K4960-00, K nvitrogen Coη	4970-00, poration,
	AS	<u>76</u>	United States App	lication No. 09	/177,387, Hartley <i>et al.,</i> filed Oct	ober 23, 1	1998 (NOT PL	JBLISHED)
	АТ	<u>76</u>	United States App	lication No. 09	/517,466, Hartley <i>et al.,</i> filed Mar	ch 2, 200	0 (NOT PUBL	ISHED)
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				U.S. PATE	NT DOCUMENTS			
EXAMINER INITIAL		DC	OCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA							
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.			F	OREIGN PA	TENT DOCUMENTS	<u> </u>	<u> </u>	<u></u>
EXAMINER INITIAL		DC	OCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL							Yes No
	АМ							Yes No
	AN		,					Yes No
	AO							Yes No
	AP	+				+		Yes
		Ш_	OTHER (Includ	ling Author.	│ Title, Date, Pertinent Pages,	etc.)	<u> </u>	No
	AR	<u>77</u>			9/695,065, Brasch <i>et al.,</i> filed Octo		:000 (NOT PU	JBLISHED)
	AS	77	United States App	lication No. 09	9/984,239, Brasch <i>et al.,</i> filed Octo	ober 29, 2	:001 (NOT PU	IBLISHED)
	АТ							
EXAMINER			<u> </u>		-	DATE CO	NSIDERED	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bennett et al.

Appl. No. 10/622,088

Filed: July 18, 2003

For: Viral Vectors Containing

Recombination Sites

Confirmation No. 1853

Art Unit:

1653

Examiner:

To be assigned

Atty. Docket: 0942.5450007/RWE/BJD/SJE

First Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed herewith, in connection with the above-captioned application.

Copies of documents AG8-AK8, AA9-AK9, AA10-AK10, AA11-AK11, AA12-AK12, AA13-AK13, AA14-AK14 and AA15-AK15 are not provided in accordance with the U.S. Patent and Trademark Office pre-OG notice of July 11, 2003, which states "[t]he Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003."

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language documents AN8 and AL9 cited on Form PTO-1449:

Document AN8, PCT Patent Publication No. WO 98/53056, is in the German language.

An unverified English language document related to AN8 is attached as document AJ13.

Document AL9, PCT Patent Publication No. WO 99/25851, is in the Japanese language.

An unverified English language document related to AL9 is attached as document AO9.

The Examiner's attention is directed to the following patents and co-pending U.S. Patent Applications, which are directed to related technical subject matter:

- U.S. Patent Application No. 10/640,422, Cheo *et al.*, filed August 14, 2003 and submitted herewith as document **AS180**;
- U.S. Application No. 09/498,074, Hartley *et al.*, now U.S. Patent No. 6,720,140, issued April 13, 2004, listed herein as document **AJ15**; and
- U.S. Patent Application No. 10/680,316, Hartley *et al.*, filed October 8, 2003 published April 1, 2004 as 2004/0063207A1 and submitted herewith as document **AK15**.

The identification of these U.S. Patent Applications is not to be construed as a waiver of secrecy as to these applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over

any of the information provided herewith, and/or to prove that this information may not be prior

art, and/or to prove that this information may not be enabling for the teachings purportedly

offered.

This statement should not be construed as a representation that a search has been made,

or that information more material to the examination of the present patent application does not

exist. The Examiner is specifically requested not to rely solely on the material submitted

herewith.

This Information Disclosure Statement is being filed before the mailing date of a first

Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed

Form PTO-1449, and indicate in the official file wrapper of this patent application that the

documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,

or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono

Attorney for Applicants

Registration No. 42,473

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

	FORM PTO-1449			ATTY. DOCKET NO. 0942.5450007/RWE/BJD/S.	•	APPLICATION NO. 10/622,088			
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INFOR			LOSURE STATEME	<u>ENT</u>	FILING DATE July 18, 2003	ART 1653			
				U.S. PAT	ENT DOCUMENTS	1,000			
EXAMINER			CUMENT NUMBER	DATE	NAME	CLASS	CLID CLASS	FILING DATE	
OVER	, AA	100	COMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
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	AG8	4,6	526,505	12/02/1986	Falco			02/24/1984	
	АН8	4,6	573,640	06/16/1987	Backman			04/30/1984	
	AI8	4,6	683,195	07/28/1987	Mullis et al.			02/07/1986	
	AJ8	4,6	683,202	07/28/1987	Mullis			10/25/1985	
	AK8	4,7	43,546	05/10/1998	Backman et al.			02/13/1985	
			F	OREIGN P	ATENT DOCUMENTS				
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
	AL							Yes No	
	АМ				,			Yes No	
	AN							Yes No	
	AO2	EF	0 160 571 A2	11/06/1985	Europe			Yes No	
	AP2	EF	0 220 009	04/29/1987	Europe			Yes No	
			OTHER (Include	ling Author	, Title, Date, Pertinent P	ages, etc.)			
	AR	<u>78</u>	and Properties	of the Cre R	., "Bacteriophage P1 Site- ecombinase Protein," <i>J. B</i> d Molecular Biology, Inc.,	iol. Chem. 25	9:1509-1514		
	AS	<u>78</u>		Abremski, K., et al., "Studies on the Properties of P1 Site-Specific Recombination: Evidence for Topologically Unlinked Products Following Recombination," Cell 32:1301-1311, Cell Press (1993)					
	AT	<u>78</u>	Required for λ E	xcisive Rec	an, S., "Purification of the lombination," <i>J. Biol. Chen</i> d Molecular Biology (1982	n. 257(16):96			
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				U.S. PATE	NT DOCUMENTS			
EXAMINER INITIAL		DOG	CUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA9	4,9	59,317	09/25/1990	Sauer			04/29/1987
	AB9	4,9	65,188	10/23/1990	Mullis et al.			06/17/1987
	AC9	5,0	93,257	03/03/1992	Gray			11/01/1989
	AD9	5,1	02,797	04/07/1992	Tucker et al.			05/26/1989
	AE9	5,1	59,062	10/27/1992	Knapp et al.			01/19/1990
	AF9	5,2	27,288	07/13/1993	Blattner			10/01/1990
	AG9	5,2	86,632	02/15/1994	Jones			01/09/1991
	АН9	5,3	 34,375	08/02/1994	Nabi et al.			07/16/1992
	Al9	5,3	54,668	10/11/1994	Auerbach			10/15/1993
	AJ9	5,3	78,618	01/03/1995	Sternberg et al.			09/29/1992
	AK9	5,4	34,066	07/18/1995	Bebee et al.			03/15/1994
	l .		F	OREIGN PA	TENT DOCUMENTS	1		
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3	EP	0 300 422	01/25/1989	Europe			Yes No
	АМЗ	wc	90/11375	10/04/1990	WIPO			Yes No
	AN3	wo	91/02801	03/07/1991	WIPO	:		Yes No
•	AO3	EP	0 427 074	05/15/1991	Europe	•		Yes No
	AP3	wo	91/09957	07/11/1991	WIPO			Yes No
			OTHER (Includ	ling Author,	Title, Date, Pertinent Pages	, etc.)	<u> </u>	110
	AR	<u>79</u>	Differences from	the in Vitro F	Recombination in <i>Escherichia</i> Reaction," <i>J. Mol. Biol.</i> 226:66	61-673, A	cademic Pre	ess (1992)
	AS	<u>79</u>	25:1781-1788, 0	Oxford Univer	ed somatic site-specific recomsity Press (May 1997)			
	AT	<u>79</u>		icts in Mamm	Selection of FLP-Mediated Unalian Cells," <i>Mol. Cell. Biol. 1</i>			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA10	5,470,727	11/28/1995	Mascarenhas et al.			12/21/1993
•	AB10	5,527,695	06/18/1996	Hodges et al.			01/29/1993
	AC10	5,591,609	01/07/1997	Auerbach			02/03/1995
	AD10	5,605,793	02/25/1997	Stemmer			02/17/1994
	AE10	5,614,389	03/25/1997	Auerbach			09/26/1995
	AF10	5,635,381	06/03/1997	Hooykaas et al.			01/20/1995
	AG10	5,650,308	07/22/1997	Baum			06/07/1995
	AH10	5,650,557	07/22/1997	Hannah et al.			06/07/1995
	Al10	5,658,772	08/19/1997	Odell et al.			07/27/1994
	AJ10	5,677,170	10/14/1997	Devine et al.			03/02/1994
	AK10	5,677,177	10/14/1997	Wahl et al.			06/07/1995
			FOREIGN PA	TENT DOCUMENTS		1	•
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
A	AL4	WO 91/16427	10/31/1991	WIPO			Yes No
	AM4	WO 92/15694	09/17/1992	WIPO			Yes No
	AN4	WO 92/20791	11/26/1992	WIPO			Ye:
	AO4	WO 92/22650	12/23/1992	WIPO			Ye:
	AP4	EP 0 542 466 A2	05/19/1993	Europe			Yes No
	-	OTHER (Inclu	ding Author,	Title, Date, Pertinent Pages	, etc.)		•
	AR			c Integration of DNA into wild- 7:649-659, Blackwell Science			sites placed ir
· -	AS			.P Recombinase of the 2μ Cir 40:795-803, Cell Press (1985)		of Yeast: In	teraction with
	АТ		rith Mutated Ta	etion of the FLP Recombinase arget Sequences," <i>Mol. Cell. L</i>			
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EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA11	5,7	10,248	01/20/1998	Grose			07/29/1996
	AB11	5,7	23,765	03/03/1998	Oliver et al.			06/07/1995
	AC11	5,7	28,551	03/17/1998	Devine et al.			03/02/1995
	AD11	5,7	33,733	03/31/1998	Auerbach			02/01/1996
	AE11	5,7	33,743	03/31/1998	Johnson et al.			06/10/1996
	AF11	5,7	44,336	04/28/1998	Hodges et al.		·	03/08/1996
	AG11	5,7	76,449	07/07/1998	Baum et al.			09/20/1996
-	AH11	5,8	01,030	09/01/1998	McVey et al.			09/01/1995
	Al11	5,8	14,300	09/29/1998	Scott et al.			03/03/1995
	AJ11	5,8	30,707	11/03/1998	Bushman			06/05/1995
	AK11	5,8	37,242	11/17/1998	Holliger <i>et al</i> .			05/14/1996
		-	F	OREIGN PA	TENT DOCUMENTS		1.	<u></u>
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5	wc	93/15191	08/05/1993	WIPO			Yes No
	AM5	wo	93/19172	09/30/1993	WIPO			Yes No
	AN5	CA	2,141,412	02/17/1994	Canada			Yes No
	AO5	wo	94/03624 A1	02/17/1994	WIPO			Yes No
	AP5	wo	94/09127	04/28/1994	WIPO		_	Yes
			OTHER (Includ	ing Author.	Title, Date, Pertinent Pages	. etc.)	L	1
	AR	<u>81</u>	serial analysis o	f gene expres	ification of diverse nerve grovesion (SAGE) profiling," <i>Proc.</i> Sciences (September 2000)	wth factor	r-regulated g ad. Sci. USA	enes by 97:10424-
	AS	<u>81</u>		or stable expi	ole expression based on reguression in cultured cells," <i>Nuc</i>			
	AT	<u>81</u>	Vector Expressi	ng the Cre Re	"Site-Specific Recombination ecombinase Protein: a Molect -4606, American Society for I	ılar Swite	h for Contro	
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EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA12	5,8	37,458	11/17/1998	Minshull et al.			05/20/1996
	AB12	5,8	43,772	12/01/1998	Devine et al.			11/18/1994
	AC12	5,8	58,657	01/12/1999	Winter et al.			06/07/1995
	AD12	AD12 5,871,907	02/16/1999	Winter et al.			03/31/1994	
	AE12	5,8	74,259	02/23/1999	Szybalski			11/21/1997
	AF12	5,9	916,804	06/29/1999	Bushman			04/17/1998
	AG12	5,9	19,676	07/06/1999	Graham et al.			06/07/1995
	AH12	5,9	28,914	07/27/1999	Leboulch et al.			11/05/1996
	Al12	5,9	29,307	07/27/1999	Hodges et al.			12/20/1996
	AJ12	5,9	62,255	10/05/1999	Griffiths et al.			12/05/1994
	AK12	5,9	81,177	11/09/1999	Demirjian et al.			01/25/1995
			F	OREIGN PA	TENT DOCUMENTS			
XAMINER NITIAL	DOC		CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6	wo	O 94/17176	08/04/1994	WIPO			Ye No
	AM6	M6 WO 94/18333		08/18/1994	WIPO			Ye No
	AN6	AN6 WO 95/00555		01/05/1995	WIPO			Ye N
	AO6 WO 96/04393		02/19/1996	WIPO			Ye N	
	AP6 WO 96/30498		10/03/1996	WIPO			Ye N	
	OTHER (Inclue			ling Author.	Title, Date, Pertinent Page	s. etc.)	1	1
	AR	<u>82</u>	the Haemophilus	s influenza ba	pecific recombination betwee acteriophage HP1 propagate . 171:1747-1750, American S	d in recon	nbination de	ficient
	AS Atlung, T., et al., "A versatile method for integration of genes and gene fusions into the attachment site of Escherichia coli," Gene 107:11-17, Elsevier Science (1991)							nto the λ
	АТ	<u>82</u>			of Plasmids pBR322 and pU0 y & Sons, Inc., Boston, MA,			cols in
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				U.S. PATE	NT DOCUMENTS			
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA13	5,9	89,872	11/22/1999	Luo <i>et al</i> .			08/12/1997
	AB13	6,0	10,884	01/04/2000	Griffiths et al.			05/29/1996
	AC13	6,0	40,430	03/21/2000	Stewart			12/26/1995
	AD13	6,0	63,627	06/16/2000	McVey et al.			02/25/1998
	AE13	6,0	66,778	05/23/2000	Ginsburg, et al.			11/06/1996
	AF13	6,0	80,576	06/27/2000	Zambrowicz, et al.			04/08/1998
	AG13	6,2	25,121	05/01/2001	Savakis, C. et al.			04/27/1998
	AH13	6,2	262,341	07/17/2001	Baszczynski et al.			11/17/1998
	Al13	6,2	71,436	08/07/2001	Piedrahita et al.			10/10/1997
	AJ13	6,3	31,397	12/18/2001	Schindelhauer et al.			05/11/2000
	AK13	6,3	61,972	03/26/2002	Harrington et al.			01/10/2000
			F	OREIGN PA	TENT DOCUMENTS			
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7	WO 96/40722		12/19/1996	WIPO			Yes No
	AM7	WO 96/40724		12/19/1996	WIPO			Yes No
	AN7	WO 97/06265		02/20/1997	WIPO			Yes No
	AO7	AP7 WO 97/25446		03/13/1997 WIPO 07/17/1997 WIPO			Yes No	
	AP7				WIPO			Yes No
			OTHER (Includ	ling Author,	Title, Date, Pertinent Pages,	etc.)		
	AR	<u>83</u>			nesis by the Polymerase Cha filey & Sons, Inc., Boston, MA			
	AS.	<u>83</u>	Ayres, E.K., et al., "Precise Deletions in Large Bacterial Genomes by Vector-mediated Excision (VEX) The trfA Gene of Promiscuous Plasmid RK2 is Essential for Replication Several Gram-negative Hosts," J. Mol. Biol. 230:174-185, Academic Press (1993)					
	AT	<u>83</u>			Protein of the 2-micron Plasi Society for Biochemistry and I			
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FIRST SUPPLEMENTAL	FILING DATE	ART UNIT
INFORMATION DISCLOSURE STATEMENT	July 18, 2003	1653

				U.S. PATE	NT DOCUMENTS			
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA14	6,3	65,377	04/02/2002	Patten et al.			03/03/2000
	AB14	200)2/0068290 A1	06/06/2002	Yarovinsky			05/31/2001
· · · · ·	AC14	6,4	10,266	06/25/2002	Harrington et al.			01/07/2000
	AD14	6,4	10,317 B1	06/25/2002	Farmer			07/14/2000
	AE14	200)2/0094574 A1	07/18/2002	Hartley et al.			05/16/2001
	AF14	6,4	36,707	08/20/2002	Zambrowicz et al.	 		03/25/1999
	AG14	200)2/0172997 A1	11/21/2002	Hartley et al.			07/19/2001
	AH14	200	2002/0182731 A1 2003/0027289 A1	12/05/2002	Ji et al.			01/25/2002
	Al14	200		02/06/2003 Farmer			04/05/2002	
	AJ14	200	03/0027337 A1	02/06/2003	Droge et al.			02/25/2002
	AK14	200	03/0054552 A1	03/20/2003	Hartley et al.			01/30/2002
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	AL8	wo	97/32481	09/12/1997	WIPO			Yes No
	AM8	wo	98/10086	03/12/1998	WIPO ·			Yes No
	AN8	wo	O 98/53056	11/26/1998	WIPO			Yes X No
	AO8	WO 99/10488		03/04/1999	WIPO			Yes
	AP8	P8 WO 99/21977		05/06/1999	WIPO			Yes
		- 1	OTHER (Includ	ling Author,	Title, Date, Pertinent Pages	, etc.)		1.10
	AR	<u>84</u> .			synchronous Site-Specific Recology 2:1045-1049 (1984)	combinat	ion <i>In Vivo</i> to	o Regulate
	AS	<u>84</u>	Bai, C., et al., "SKP1 Connects Cell Cycle Regulators to the Ubiquitin Proteolysis Machine through a Novel Motif, the F-Box," Cell 86:263-274, Cell Press (July 1996)					
	AT	<u>84</u>		rearrangeme	ne cassette for adapting $Escient Scientific Escient and p_L expression vectors,$			
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	AA15	200	03/0054555 A1	03/20/2003	Farmer et al.			09/17/2002
	AB15	200	03/0059900 A1	03/27/2003	Farmer			01/17/2002
	AC15	200	03/0064515 A1	04/03/2003	Hartley et al.			01/30/2002
	AD15	200	03/0068799 A1	04/10/2003	Hartley et al.			06/06/2002
	AE15	200	03/0077804 A1	04/24/2003	Byrd et al.			04/19/2002
	AF15	200	03/0124555 A1	07/03/2003	Brasch et al.			05/21/2002
	AG15	200)3/0157662 A1	08/21/2003	Gerard et al.			11/13/2002
	AH15`	200	03/0175970 A1	09/18/2003	Hartley et al.			11/21/2002
	Al15	200	04/0053412 A1	03/18/2004	Hartley et al.			03/26/2003
	AJ15	6,7	20,140	04/13/2004	Hartley et al.			02/04/2000
	AK15	200	04/0063207	04/01/2004	Hartley et al.			10/08/2003
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	AL9	1	99/25851	05/27/1999	WIPO			Yes X No
	AM9	wo	99/55851	11/04/1999	WIPO			Yes
	AN9	WO 00/12687		03/09/2000	WIPO			Yes No
	AO9	EP	1 035 208	09/13/2000	Europe			Yes No
	AP9	wo	0 00/60091	10/12/2000	WIPO			Yes
			OTHER (Includ	ling Author,	Title, Date, Pertinent Page	s, etc.)		
	AR	<u>85</u>	cerevisiae: Nucl	ear entry and	gulated expression of endon biological consequences," <i>F</i> y of Sciences (1985)	uclease E Proc. Natl.	coRI in Sac Acad. Sci. U	charomyces JSA 82:
	AS	<u>85</u>			Sequence Homology Requir fol. Biol. 181:187-197, Acade			
	АТ	<u>85</u>	Bayley, C.C., et site specific reco (1992)	al., "Exchang ombination sy	ge of gene activity in transger estem," <i>Plant Mol. Biol. 18</i> :35	nic plants 3-361, Do	catalyzed by ordrecht Kluv	the Cre-lox ver Academic
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	AL10	w	O 01/05961	01/25/2001	WIPO			Yes No
	AM10	w	O 01/07572	02/01/2001	WIPO			Yes No
	AN10	w	O 01/62892	08/30/2001	WIPO			Yes No
	AO10	w	O 02/05294	01/17/2002	WIPO			Yes No
	AP10	10 WO 02/08391		01/31/2002	WIPO			Yes No
			OTHER (Includ	ling Author,	Title, Date, Pertinent Page	s, etc.)		
	AR	86	Bernard, P., and of DNA-topoisor (1992)	l Couturier, M nerase II Cor	1., "Cell Killing by the F plasn nplexes," <i>J. Mol. Biol. 226</i> :73	nid Ccdb I 85-745, Ad	Protein Invol	ves Poisoning ss, Inc.
	AS	<u>86</u>	Bernard, P., "Positive Selection of Recombinant DNA by CcdB," <i>BioTechniques 21</i> :320-32 Eaton Publishing Company (1996)					
	AT	<u>86</u>			smid CcdB Protein Induces E Biol. 234:534-541, Academi			nt DNA
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	AR	<u>87</u>	Bernard, P., <i>et a</i> 148: 71-74, Else		election of vectors using t (1994)	he F plasmid	i ccdB killer	gene," <i>Gene</i>
	AS	<u>87</u>	Bethke, B., and Sauer, B., "Segmental genomic replacement by Cre-mediated recombination: genotoxic stress activation of the p53 promoter in single-copy transformants, <i>Nucl. Acids Res. 25</i> :2828-2834, Oxford University Press (1997)					
	AT	<u>87</u>	Betz, U.A.K., et al., "Bypass of lethality with mosaic mice generated by Cre-loxP-mediated recombination," Curr. Biol. 6:1307-1316, Current Biology Ltd. (October 1996)					
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	AR	90	Brent, R., and Ptashne, M., "A bacterial repressor protein or a yeast transcriptional					nal terminato n Journals
	AS	Bruckner, R.C., and Cox, M.M., "The Histone-like H Protein of <i>Escherichia</i> protein s3," <i>Nucl. Acids Res. 17</i> :3145-3161 (1989)					nerichia coli i	s a ribosomal
	AT	90			, "Lambda/Plasmid \ BioTechniques 16(6)			
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	1		OTHER (Includ	ling Author,	Title, Date, Pertinent Pages	s, etc.)			
	AR	91	Designed for Dir	Brunelli, J.P. and Pall, M.L., "A Series of Yeast/Escherichia coli \(\lambda \) Expression Vectors Designed for Directional Cloning of cDNAs and \(\textit{cre/lox-Mediated Plasmid Excision," Yea 9:1309-1318, John Wiley (1993)} \)					
	AS	91	Bubeck, P., et al., "Rapid cloning by homologous recombination in vivo," Nucl. Acids is 21:3601-3602, Oxford University Press (1993)					Acids Res.	
	AT	91	Buchholz, F., et al., "A simple assay to determine the functionality of Cre or FLP recombination targets in genomic manipulation constructs," <i>Nucl. Acids Res.</i> 24:3118-31 Oxford University Press (1996)						
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	AR	92		Buchholz, F., et al., "Different thermostabilities of FLP and Cre recombinases: implications fo applied site-specific recombination," Nucl. Acids Res. 24:4256-4262, Oxford University Press					
	AS	<u>92</u>	Bushman, W., et al., "Control of Directionality in Lambda Site Specific Recombination," Science 230:906-911, American Association for the Advancement of Science (1985)						
	AT	92	Burioni, R., et al., "An improved phage display vector for antibody repertoire cloning by construction of combinatorial libraries," Res. Virol. 148:161-164, Elsevier (1997)						
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			OTHER (Includ	ling Author,	Title, Date, Pertinent Pages	s, etc.)		
·	AR	<u>93</u>	Chanock, R.M., et al., "Human Monoclonal Antibody Fab Fragments Cloned from Combinatorial Libraries: Potential Usefulness in Prevention and/or Treatment of Major Human Viral Diseases," Infect. Agents Dis. 2:118-131, Raven Press (1993)					
	AS	93	Chapin, S.J., et al., "Differential expression of alternatively spliced forms of MAP4: a repertoire of structurally different microtubule-binding domains," <i>Biochem. 34</i> :2289-2301, American Chemical Society (1995)					
	АТ	<u>93</u>	Chatterjee, P.K., and Coren, J.S., "Isolating large nested deletions in bacterial and P1 artificial chromosomes by <i>in vivo</i> P1 packaging of products of Cre-catalyzed recombination between the endogenous and a transposed <i>loxP</i> site," <i>Nucl. Acids Res.</i> 25:2205-2212, Oxford University Press (1997)					
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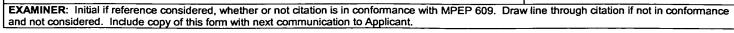
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	AR	<u>95</u>	CLONTECH, "C CLONTECH (Ap		ne Cloning & Expression Syst	em," <i>CLC</i>	NTECHniqu	ues 15:7-11,
	AS	<u>95</u>	CLONTECH, "New Additions to the Creator™ Platform," <i>CLONTECHniques 16</i> :1-4, CLONTECH (January 2001)				1-4,	
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	AR	<u>96</u>	CLONTECH "Creator™ Acceptor Vector Construction Kits" CLONTECHniques 16:2 page					
·	AS	<u>96</u>		CLONTECH, "Creator™ SMART™ Library Construction Kit," <i>CLONTECHniques 16</i> :2 par CLONTECH, (October 2001)				
	AT	<u>96</u>	Presentation, pp	CLONTECH, "Creator™: The Universal Platform for Analysis of Gene Function," <i>Powerpoint Presentation</i> , pp. 1-9, CLONTECH, (July 24, 2001), <i>available at</i> http://www.clontech.com/products/families/creator/popups/s1page1.html				
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	1		OTHER (Includ	ing Author.	Title. Date. Pertinent Pac	ies. etc.)	I	140
	AR OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Green, R., and Noller, H.F., "Ribosomes and Translation," Ann. Rev. Biochem. 66:679-716, Annual Reviews, Inc. (1997)					6:679-716,		
	AS	110	Grindly, N.D.F., and Kelley, W.S., "Effects of Different Alleles of the <i>E. coli</i> K12 <i>polA</i> Gene on the Replication of Non-transferring Plasmids," <i>Molec. Gen. Genet.</i> 143:311-318, Springer Verlag (1976)					
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<u>FIRST SUPPLEMENTAL</u> INFORMATION DISCLOSURE STATEMENT

AT 0942.5450007/RWE/BJD/SJE 10/622,088 FIRST NAMED INVENTOR Bennett et al. FILING DATE ART UNIT July 18, 2003 1653

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	AR	<u>111</u>	Guo, F., <i>et al.</i> , "/	Asymmetric D	PNA bending in the Cre-loxP sci. USA 96:7143-7148, Natio	site-speci	fic recombin emy of Scier	ation nces (1999)
		111	Haffter, P., and I Relaxed Topolog	Bickle, T.A., " gical Specifict	Enhancer-independent mutar y," <i>EMBO J. 7</i> :3991-3996, IR	nts of the L Press	Cin Recomb Limited (198	pinase have a 8)
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	AR	112			ott, M.G., "The role of a 97:8856-8861, Nation			
	AS	112	Hardy, S., et al., "Construction of Adenovirus Vectors through Cre-lox Recombination," J. Virol. 71(3):1842-1849, American Society for Microbiology (1997)					nation," <i>J.</i>
	AT	112	Hasan, N., et al. of ori plasmids a Elsevier Science	and their ir	chia coli genome target n vivo chromosomal inte er 1994)	ing, I. Cre-lox-med egration and retrie	diated in vitro val," Gene 1	generation 50:51-56,

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	AR	113	Hasan, N., and S vivo: constructio Gene 56:145-15	n of improve	, "Control of cloned gene exp d vectors with a multiple cloni cience (1987)	ression b	by promoter in the $p_{ m tac}$ pr	nversion in omoter,"
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	АТ	113	Hehl, R., et al., " reveals homolog Academic Press	ies to the Ac	alysis of Tam3, a transposab element from maize," <i>Plant N</i>	le elemer Mol. Biol.	nt from <i>Antiri</i> 16:369-371,	<i>hinum majus</i> , Kluwer
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	AR	114	Henikoff, S., "Un DNA sequencinç	nidirectional d g," <i>Gene 28</i> :3	igestion with exonuclease III 351-359, Elsevier Science Pu	creates t blishers (argeted brea 1984)	ikpoints for
	AS	114			pproach to Facilitate Purificati ent." <i>Bio/Technology 6</i> : 1321-			roteins with a
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	AR	<u>115</u>			sm of Strand Cleavage and E m," <i>J. Mol. Biol. 181</i> :351-362			
	AS	<u>115</u>	Hoess, R., et al., "Formation of small circular DNA molecules via an in vitro site-specific recombination system," <i>Gene 40</i> :325-329, Elsevier Science (1985)					specific
	AT	<u>115</u>			pecific recombination: Nucleous Nucleous Nucleous Nucleous National Nucleous National Nucleous National Nucleous Nucleou			
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	AR	116		g Site <i>loxP</i> ,"	K., "Interaction of the Proc. Natl. Acad. So				
	AS	116			A novel phage λ repl ne 133:95-97, Elsev			ctor that has	automatic
	АТ	116	methodologies for	or displaying	Multi-subunit protein g antibody (Fab) hea ersity Press (1991)				
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	AR	<u>117</u>	P1, Mapping of t	lida, S., et al., "A site specific, conservative recombination system carried by bacteriophage P1, Mapping of the recombinase gene cin and the crossover sites cix for the inversion of the c segment," <i>EMBO J. 1</i> :1445-1453, Oxford University Press (1982)				
	AS	<u>117</u>	Inversion of a Sp	pecific DNA S bor Symposia	Frans-acting Genes of Bacter egment Involved in Flagellar on Quantitative Biology 45:	Phase V	ariation of Sa	almonella,"
	AT	<u>117</u>	Institut Pasteur V http://www.paste		oduction: ne/unites/pmtg/integ/intro.htm	nl (access	sed 6/19/200	3)
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	AR	119	Institut Pasteur \ 6/19/2003)	nstitut Pasteur Websites Main page: http://www.pasteur.fr/recherche/unites/pmtg (accessed						
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	АТ	119		Jayaram, M., "The <i>Int</i> Family of Site-specific Recombinases: Some thoughts on a General Reaction Mechanism," <i>J. Genet.</i> 67:29-36, Indian Academy of Sciences (1988)						
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	АТ	122	Kim, S., and Lan Two Distant Chro for the Advancer	omosomal Lo	bda Int Protein Bridges Betwe oci attL and attR," Science 256 nce (1992)	en Highe 6:198-20	er Order Con 3, American	nplexes at Association		
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	AR	<u>123</u>	Kim, D.W., "Use of the Human Elongation Factor 1a Promoter as a Versatile and Efficient Expression System," <i>Gene 91</i> :217-223, Elsevier Science (1990)							
	AS	123	Kitts, P.A., and I with a Defined C (1988)	Kitts, P.A., and Nash, H.A., "Bacteriophage Lambda Site-Specific Recombination Proceeds with a Defined Order of Strand Exchanges," <i>J. Mol. Biol.</i> 204:95-107, Academic Press, Inc. (1988)						
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	AR	124	Koch, C. et al., "Escherichia coli host factor for site-specific DNA inversion: Cloning and						
	AS	124		Kolb, A.F., and Siddell, S.G., "Genomic Targeting with an MBP-Cre Fusion Protein," <i>Gene</i> 183:53-60, Elsevier Science (December 1996)					
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<u>FIRST SUPPLEMENTAL</u> <u>INFORMATION DISCLOSURE STATEMENT</u>

ATTY. DOCKET NO.	APPLICATION NO.
0942.5450007/RWE/BJD/SJE	10/622,088
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	AR	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Kozak, M., "Comparison of initiation of protein synthesis in procaryotes, eucaryotes, and organelles," <i>Microbiol. Rev. 47</i> :1-45, American Society For Microbiology (1983)						
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	AT	125	Krafte, D.S., et al., "Stable Expression and Functional Characterization of a Human Cardiac Na+ Channel Gene in Mammalian Cells," J. Mol. Cell. Cardiol. 27:823-830, Academic Press Ltd. (1995)					
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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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	AR	126	Krautwald, S., and Baccarini, M., "Bacterially expressed murine CSF-1 possesses a activity in its monomeric form." <i>Biochem. Biophys. Res. Commun.</i> 192: 720-727, Els Science (1993)					es agonistic , Elsevier
	AS	<u>126</u>	Kühn, R., et al., "Inducible Gene Targeting in Mice," Science 269:1427-1429 (September 1995)					eptember
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ATTY. DOCKET NO.	APPLICATION NO.
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	AR	<u>127</u>	Lafontaine, D., and Tollervey, D., "One-step PCR Mediated Strategy for the Construction Conditionally Expressed and Epitope Tagged Yeast Proteins," <i>Nucl. Acids Res.</i> 24:246 2472, Oxford University Press (1996)					nstruction of 24:2469-	
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	АТ	127			oncogene activation by site-sp USA 89:6232-6236, National				
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ATTY. DOCKET NO.	APPLICATION NO.	
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	AR	<u>128</u>	Lander E.S. "The new genomics: global views of higlogy." Science 274:536-530. Amo					
	AS	<u>128</u>	Langeveld, S.A., et al., "Expression of an Escherichia coli phr gene in the yeast Saccharomyces cerevisiae," Mol. Gen. Genet. 199: 396-400, Springer-Verlag (1985)					
	AT	<u>128</u>	Lebreton, B., et al., "Mutations That Improve the Binding of Yeast FLP Recombinase to Its Substrate," Genetics 118:393-400 (1988)				nase to Its	
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	AR	129	Microbiology (1991)							
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	AT	129	vectors for Myco	bacterium s	ic integration of mycobacterion megmatis, Mycobacterium tul ci. USA 88:3111-3115, Nation	perculosis	, and bacille	Calmette-		
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FIRST SUPPLEMENTAL

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INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB-CLASS FILING DATE AΑ AB AC AD ΑE ΑF AG AΗ ΑI ΑJ ΑK **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-CLASS **TRANSLATION** Yes AL No Yes ΑM No Yes ΑN No Yes AO No Yes AP No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Leong, J.M., et al., "Generation of single base-pair deletions, insertions, and substitutions by a site-specific recombination system," Proc. Natl. Acad. Sci. USA 82:6990-6994, National AR 130 Academy of Sciences (1985) Leslie, N.R., and Sherratt, D.H., "Site-specific Recombination in the Replication Terminus AS 130 Region of Escherichia coli: Functional Replacement of dif," EMBO J. 14:1561-1570, Oxford University Press (April 1995) Leung, L.L.K., "Application of Combinatorial Libraries and Protein Engineering to the Discovery of Novel Anti-Thrombotic Drugs," Thromb. Haemost. 74:373-376, F.K. Schattauer AT 130 Verlagsgesellschaft mbH (1995) **EXAMINER** DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to Applicant.

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	AR	131	Li, Z.W., et al. "C	Seneration of e-medicated	mice with a 200-kb amyloid p site-specific recombination in 2, National Academy of Scien	orecursor embryon	nic stem cells	e deletion by s. <i>Proc. Natl.</i>
	AS	131		al., "Site-speci	ific Recombination in Human iol. 296:1175-1181, Academic			
	АТ	131	Independent DN	A Binding Do	Conjugative Transposition" Tomains that Recognize Differently Press (April 1994)			
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	AR	132	Mackie, G.A., "Nucleotide Sequence of the Gene for Ribosomal Protein S20 and Its Flanking						
	AS	132	Madison, L.L., and Huisman, G.W., "Metabolic Engineering of Poly(3-Hydroxyalkanoates): From DNA to Plastic," <i>Microbiol. Mol. Biol. Reviews</i> 63:21-53, American Society for Microbiology (March 1999)						
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	ATTY, DOCKET NO.	APPLICATION NO.
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	AS	133	manipulation an	d DNA seq	ision of the Escherichia co juence of transposable ele sevier Science B.V. (1998	ements introduc		
	AT	133			cid Defect Affects the Fin " Cell 54:453-460, Cell Pr		mmunoglobu	ilin VDJ
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ATTY, DOCKET NO.	APPLICATION NO.
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	AR	134	Maniatis, T., et al., "Regulation of Inducible and Tissue-specific Gene Expression," Science 236:1237-1245, American Association for the Advancement of Science (1987)						
	AS	<u>134</u>	Manning, P.A., et al., "Gene Capture in Vibrio cholera," Trends in Microbiology 7:93-95, Elsevier Science (1999)						
	АТ	134		combination	osome Engineering in <i>Sac</i> o System of a Yeast Plasmid ology (1990)				
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	AR	<u>135</u>	McCarthy, J.E., leading to initiati	and Brimace on," <i>Trend</i> s	ombe, R., "Prokaryotic transla Genet. 10:402-407, Elsevier ⁻	tion: the in	nteractive pa urnals (Nove	thway ember 1994)
	AS	<u>135</u>			-chromosomal rearrangements Res. 23:485-490 (1995)	s generate	ed by Cre-lo	x site-specific
	AT	<u>135</u>		molysis Pla	Cruz, F., "Specificity of Insertion Ismids of Escherichia coli," Mo I)			
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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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	AR	136	Mercier, J., et al., "Structural and Functional Characterization of tnpl, a Recombinase Locus						
	AS	<u>136</u>	Metcalf, W.W., et al., "Conditionally Replicative and Conjugative Plasmids Carrying lacZa for Cloning, Mutagenesis, and Allele Replacement in Bacteria," Plasmid 35:1-13, Academic Press, Inc. (January 1996)						
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	AR	<u>137</u>	Meyer-Leon, L., et al., "Purification of the FLP Site-specific Recombinase by Affinity Chromatography and Re-examination of Basic Properties of the System," <i>Nucl. Acids Res.</i> 15:6469-6488, IRL Press Limited (1987)						
	AS	<u>137</u>	coli. Mutants of [ii, T., et al., "Control of segregation of chromosomal DNA by sex factor F in Escherichia . Mutants of DNA gyrase subunit A suppress letD (ccdB) product growth inhibition," J. l. Biol. 225:39-52 (1992)					
	AT	137	Miller, H.I., et al., "int-h: an int Mutation of Phage λ That Enhances Site-Specific Recombination," Cell 20: 721-729, Cell Press (1980)						
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	AS	<u>159</u>	Sauer, B., "Induc (April 1998)	Sauer, B., "Inducible gene targeting in mice using the Cre/lox system," <i>Methods 14</i> :381-392 (April 1998)				
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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. APPLICATION NO. 0942.5450007/RWE/BJD/SJE 10/622,088 FIRST NAMED INVENTOR Bennett et al. FILING DATE July 18, 2003 ART UNIT 1653

U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	ŀ	DO	CUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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	AL							Yes No
	АМ							Yes No
	AN							Yes No
	AO							Yes No
	AP		···					Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)								I NO
	AR	<u>179</u>	Zahra, D.G., et al., "Selectable in-vivo Recombination to Increase Antibody Library Size-an Improved Phage Display Vector System," Gene 227:49-54, Elsevier Science (1994)					
	AS	<u>179</u>	Zechiedrich, E.L., et al., "Topoisomerase IV, not gyrase, decatenates products of site-specific recombination in <i>Escherichia coli</i> ," <i>Genes Dev. 11</i> :2580-2592, Cold Spring Harbor Laboratory Press (1997)					
	АТ	<u>179</u>	Zhang, Y., et al., "A new logic for DNA engineering using recombination in Escherichia coli," Nat. Genet. 20:123-128. Nature Publishing Group (1998)					
EXAMINER						DATE CO	NSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.								

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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	APPLICATION NO.
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	AO							Yes No	
	AP			_				Yes No	
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	AR	<u>180</u>	recombinase," J	Zhu, X.D., et al., "Homology requirements for ligation and strand exchange by the FLP recombinase," <i>J. Biol. Chem.</i> 270:11646-11653, American Society for Biochemistry and Molecular Biology (1995)					
	AS	<u>180</u>	U.S. Patent App (NOT PUBLISHI	U.S. Patent Application No. 10/640,422, Cheo et al., filed August 14, 2003 (NOT PUBLISHED)					
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